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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (37 CFR 1.51)

09/622372

International Application No.

International Filing Date

Priority Date Claimed

PCT/JP99/00836

February 24, 1999

Title of Invention
COMPUTER SYSTEM AND FAULT PROCESSING
METHOD IN COMPUTER SYSTEM

Applicant(s) for DO/EO/US
SEE ATTACHED LIST (T. SEKIGUCHI et al)

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

[X] LIST OF INVENTORS' NAMES AND ADDRESSES.

[X] THIS APPLICATION IS BEING FILED WITHOUT AN EXECUTED
DECLARATION, WHICH WILL BE LATER FILED.

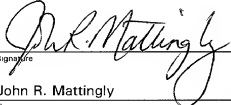
U.S. Application No. (if known, see 37 CFR 1.51)	International Application No. PCT/JP99/00836	Attorney's Docket Number ASA-904
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17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS	PTO USE ONLY
<u>Basic National Fee (37 CFR 1.492 (a)(1)-(5)):</u> Search Report has been prepared by the EPO or JPO \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (A)(2)) \$760.00 Neither international examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(A)(2)) paid to USPTO \$ 970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) \$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 840.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			
Claims	Number Filed	Number Extra	Rate
Total	10 -20 =	0	x \$18.00
Independent	2 - 3 =	0	x \$78.00
Multiple dependent claim(s) (if applicable)			+ \$260.00
TOTAL OF ABOVE CALCULATIONS			= \$ 840.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$ 0.00
SUBTOTAL			= \$ 840.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			+ \$ 0.00
TOTAL NATIONAL FEE			= \$ 840.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.			+ \$ 0.00
TOTAL FEES ENCLOSED			= \$ 840.00
		Amount to be:	
		Refunded \$	
		Charged \$	

- a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-1417 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1417. A duplicate copy of this sheet is enclosed.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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DESCRIPTION

COMPUTER SYSTEM AND FAULT PROCESSING METHOD IN COMPUTER SYSTEM

TECHNICAL FIELD

The present invention relates to a computer system and particularly to a computer system which performs fault processing efficiently.

5 BACKGROUND ART

There is a method for managing a computer by a remote manager which is an input-output device for remote management and which is connected to the computer through an I/O bus such as a PCI bus, or the like. The remote manager has communication input-output devices such as a network adapter, a modem, etc. The remote manager is connected to another computer by LAN, telephone line, or the like, so that the remote manager manages the first-mentioned computer from the other computer in a remote place.

The remote manager acquires operating information of the computer via an I/O bus or a private bus for transferring management information of the computer to be managed. The remote manager has registers and memories so that a CPU in the computer to be managed can make access to the registers and memories via an I/O bus.

The remote manager may be configured as a

computer (manager computer) having a CPU, a memory, and I/O devices including communication devices such as a network adapter and a modem as described in JP-A-9-50386, JP-A-5-257914 and JP-A-5-250284. In this case,

5 the CPU on the manager computer can execute a management program independently of the computer to be managed, that is, the CPU can execute the management program regardless of the operating state of the computer to be managed. That is, the manager computer can execute the management program even before the start of an operating

10 system (OS) of the computer or even in the case where the computer is halted due to a fault and is disabled (hung up) from accepting any operation from the outside.

When such a hang-up fault occurs in the

15 computer to be managed, the manager in the background art connected to an I/O bus restarts the computer by a method such as resetting the CPU, cutting off a power supply to the computer to be managed, or the like. The restart is achieved by connecting the manager to the

20 computer to be managed by a private signal line and by making the manager transmit a reset signal to the CPU of the computer to be managed via the signal line or by making the manager transmit an interruption to shift control to firmware on the computer to be managed. The

25 private signal line is required because the I/O bus has no signal line to transmit an interrupt to force the execution of the OS to stop.

To carry out the restarting method, another

signal line than the I/O bus must be set up between the manager and the computer to be managed. Hence, there is a problem that the computer to be managed is limited to a computer which can be connected to the manager. That
 5 is, unless a combination of a computer to be managed and a manager can be connected to each other through a private line, the computer to be managed cannot be restarted from the manager side when a fault occurs in the computer.

10 In the background art, the restarting is performed on the basis of resetting of the CPU. Accordingly, there is no opportunity of interposition of the OS. In addition, the contents of the main memory in the computer to be managed are lost because of the
 15 restart of the OS. Hence, it becomes difficult to analyze a cause of a fault. There is also a problem that the fault cannot be analyzed when the fault is not reproducible.

On the other hand, a general-purpose I/O bus
 20 such as a PCI bus is configured so that an interrupt to force the OS to execute fault processing cannot be transmitted from the manager to the computer to be managed. In some case, however, such an I/O bus has a signal line to transfer additional information (such as
 25 parity bit) for guaranteeing the accuracy of address, command, data, etc. which are transferred via the I/O bus (PCI Hardware and Software Architecture Design, ppl72-174, Annabooks, 1994). If an I/O bus can transfer

such additional information, the computer to be managed or an input/output device of the computer can verify the accuracy of data on the I/O bus in the data transfer via the I/O bus.

5 In addition, in the case where an I/O bus having the aforementioned function is used, there is provided an I/O bus controller which has a signal line to inform the CPU of a fault when an incorrect signal is detected on the basis of the additional information on
10 the I/O bus (Microprocessor Report, pp11-12, Vol. 12, Number 9, July, 1998).

 With respect to the CPU in the computer to be managed, the CPU may be disabled from making access to a memory when a fault occurs in the bus. Hence, a
15 situation that the CPU cannot operate may occur. In the case where the bus is locked as described above, it is impossible to restart the execution of the CPU even if an interrupt signal is transmitted to the CPU. This is because memory access is disabled by the bus fault so
20 that an interrupt handler cannot be started.

 As a measure against such a fault, there is a CPU which reinitializes only a bus without resetting the CPU itself and then internally generates an interrupt to shift control to the interrupt handler when a fault
25 signal concerning the bus is detected (Microprocessor Report, pp1, 6-10, Vol. 12, Number 9, July, 1998). With the CPU, the execution of the CPU can be restarted so that the fault processing by the OS can be started, even

in the case where the bus is locked.

In a manager for a computer connected to an I/O bus in the background art, the computer is restarted as a whole by a method of resetting the CPU of the computer through another signal line than the I/O bus or by a method of resetting the CPU on the basis of firmware on the computer when a fault disabling the OS from executing the fault processing occurs in the computer. In these methods, however, there was a problem that the OS could not carry out the fault processing because the CPU was reset, so that it becomes impossible to acquire fault information.

Moreover, the manager in the background art required another signal line than the I/O bus or required a circuit or firmware provided on the computer to execute a process of resetting the CPU. In this method, there was a problem that the computer which was allowed to be connected to the manager was limited.

An object of the present invention is to provide a computer system in which a computer can acquire fault information even in the case where a fault disabling an OS from executing fault processing occurs in the computer.

Another object of the present invention is to provide a computer system in which a bus used by a computer to be managed can be initialized through an I/O bus.

DISCLOSURE OF THE INVENTION

To achieve the foregoing objects, according to the present invention, in a computer system in which a computer and a manager are connected to each other through an I/O bus, when a fault disabling an OS from executing fault processing occurs in the computer, a fault manager transmits an I/O bus signal to an I/O bus manager in the computer to inform the I/O bus manager of occurrence of an I/O bus fault. The I/O bus manager initializes the I/O bus and then informs a CPU in the computer of the I/O bus fault as an interrupt to be processed by the OS.

In this manner, it is possible to provide a computer system in which a computer can acquire fault information in response to interrupt to the OS even in the case of occurrence of a fault in the computer which fault might disable the OS from executing fault processing heretofore. Further, it is possible to provide a computer system in which a bus used by the computer to be managed can be initialized through the I/O bus.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a system configuration view of an embodiment of the present invention;

Fig. 2 is a configuration view of a program in the embodiment of the present invention;

Fig. 3 is a configuration view of a device

controller;

Fig. 4 is a configuration view of an I/O bus controller;

Fig. 5 is a configuration view of a fault
5 processing portion in a CPU;

Fig. 6 is a configuration view of a bus
initializing portion in the CPU;

Fig. 7 is a flow chart of processing in a bus
error interrupt handler of an OS;

10 Fig. 8 is a flow chart of processing in a
management program executed by a manager;

Fig. 9 is a view showing signal timing on an
I/O bus;

Fig. 10 is a configuration view of a bus
15 unlocker in the manager in a second embodiment of the
present invention;

Fig. 11 is a flow chart of processing in a
management program executed by the manager in the second
embodiment of the present invention;

20 Fig. 12 is a configuration view of a fault
generator in the manager in a third embodiment of the
present invention;

Fig. 13 is a configuration view of a computer
and a manager in a fourth embodiment of the present
25 invention;

Fig. 14 is a flow chart of a computer shutdown
process executed by the manager in the fourth embodiment
of the present invention; and

Fig. 15 is a flow chart of a computer shutdown process executed by the manager in a fifth embodiment of the present invention.

BEST MODE FOR CARRYING OUT THE INVENTION

5 Embodiments of the present invention will be described below with reference to the drawings.

(1) First Embodiment

Fig. 1 is a view showing the configuration of a system in an embodiment of the present invention. A
10 computer 100 is a computer to be managed by a manager 120.

The configuration of the computer 100 will be described. A CPU 101 and a main memory 102 are connected to each other through a bus 103. An I/O bus
15 controller 104 for controlling an I/O bus 107 is connected to the bus 103. The bus 103 contains a signal line which instructs the CPU 101 or the I/O bus controller 104 to reset the internal state concerning the bus 103. The I/O bus 107 extends from the I/O bus
20 controller 104. A manager 120, an external storage device 105, a console 106 constituted by an interactive device such as a keyboard, a display, etc., and so on, are connected to the I/O bus 107.

The I/O bus controller 104 performs transfer
25 of an input-output operation executed by the CPU 101 to the I/O bus 107, transfer of data from an input-output device connected to the I/O bus 107 to the main memory

102 or a register in the CPU 101, transfer of an interrupt to the CPU 101, and so on.

The I/O bus controller 104 and the CPU 101 are connected to each other through a bus error intercept
 5 line 108. The bus error intercept line 108 is a bus signal line through which the I/O bus controller 104 informs the CPU 101 of a bus error when the I/O bus controller 104 detects an error on the I/O bus 107.

The manager 120 will be described below. The
 10 manager 120 is a kind of external input-output device connected to the I/O bus 107 of the computer 100. The manager 120 remotely achieves supervision of the state of execution of the computer 100 and operation management such as starting, stopping, etc. The manager
 15 120 constitutes a computer by itself. A program to be executed by the manager 120 can be executed independently even in the case where the OS of the computer 100 is halted. The program executed by the manager 120 controls a modem 127 and a network adapter 128 so that
 20 the manager 120 is linked with remote computers such as computers 151 and 170 to thereby achieve operation management for the computer 100 from the remote computer side.

A CPU 121 and a main memory 122 on the manager
 25 120 are connected to each other through a bus 123. An I/O bus controller 124 is connected to the bus 123. An I/O bus 125 extends from the I/O bus controller 124. The modem 127 and the network adapter 128 are installed

on the I/O bus 125 so as to make communication with the remote computers.

The manager 120 is connected to the I/O bus 107 of the computer 100 through a device controller 126.

5 The device controller 126 receives an input-output operating request given from the CPU 101 to the manager 120 and performs control in accordance with the request. For example, the device controller 126 performs an operation of changing the contents of the main memory
10 122, an operation of transmitting an interrupt to the CPU 121, or the like.

The device controller 126 is configured to look like an input-output device also from the CPU 121 side. The device controller 126 performs an operation
15 of writing out data onto the I/O bus 107, or the like, in response to an input-output operation executed by the CPU 121.

The device controller 126 includes a fault generator 130. The fault generator 130 is a device for
20 transmitting an incorrect signal to the I/O bus 107 in response of an instruction from the CPU 121. When the I/O bus controller 104 in the computer 100 detects the incorrect signal on the I/O bus 107, the I/O bus controller 104 informs the CPU 101 of the fault through
25 the bus error intercept line 108.

Fig. 2 is a software configuration view of this embodiment of the present invention. In Fig. 2, the manager 120 is connected to the I/O bus 107 of the

computer 100 and the network adapter 128 of the manager 120 is connected to a management computer 151 through a network.

The computers 100 and 151 and the manager 120
5 are loaded with OS's 201, 221 and 213 respectively. The OS's 201, 221 and 213 are under operation. In the computer 100, a group of general application programs 202 are under execution. In the computer 100, a management agent program 203 that cooperates with the
10 manager 120 is further under operation. The management agent 203 collects the execution states of the programs 202 and OS 201 executed in the computer 100, transmits the execution states to the manager 120, instructs the manager 120 to operate, acquires the information of the
15 execution states of the computer 100 collected by the manager 120 and performs operation management process. The operation management process includes setting the automatic start/stop time of the computer 100, shutdown, reboot, turning off the power source of the computer
20 100, displaying management information, transmitting the management information to the network, and so on.

In the manager 120, a communication control program 212 for performing communication with the remote computer 151 and a management program 211 for performing
25 operation management of the computer 100 are under execution. The management program 211 executes acquirement of the operating state of the computer 100, power supply control of the computer 100 on the basis of

designation of time, automatic booting/shutdown of the OS 201, transfer of information collected by the management agent 203 to the remote management computer 151, processing of a working operation request from the remote computer 151, and so on.

The programs 211 to 213 on the manager 120 can be executed even in the case where the OS 201 of the computer 100 is halted. When the computer 100 is stopping due to a fault on the OS 201, the management program 211 carries out fault processing such as acquirement of the contents of the main memory 102 via the I/O bus 107, transmission of fault information to the remote computer 151, and so on. In addition, in this embodiment, the management program 211 carries out a process of driving the fault generator 130 to transmit a fault signal to the I/O bus 107 to thereby execute a process to start fault processing by the OS 201.

The remote computer 151 or 170 is connected to the manager 120 through a network 150 such as LAN or through a communication line 140 such as a telephone line. In the remote computer 151, a remote computer management program 220 is under execution. The program 220 exchanges management information with the management program 211 on the manager 120 by communication and executes an operation management process of the computer 100. For example, the program 220 executes the display of the operation management information of the computer 100, the shutdown/rebooting of the computer 100

remotely, the instruction to start the fault processing by the OS 201, and so on.

When a fault occurs in the bus 103 or in the I/O bus 107, the CPU 101 generates a bus error interruption and executes fault processing. The OS 201 contains an interrupt handler 204 for processing the bus error interruption. The interrupt handler 204 is registered in an interrupt vector of the CPU 101 so as to be executed when a bus error interruption is generated.

Fig. 3 is a view showing the configuration of the device controller 126 in this embodiment. The device controller 126 is connected to the I/O bus 125 of the manager 120 and the I/O bus 107 of the computer 100 through an I/O bus interface circuit 301. The circuit 301 is a circuit for taking out data from each I/O bus to the device controller 126 or transmitting data from the CPU to the I/O bus 107. The circuit 301 drives other circuits in the device controller 126 in accordance with the contents of the data obtained from the I/O bus 107.

The controller 126 includes a parity generating circuit 302 for the I/O bus 107, and a fault generator 130. In this embodiment, the parity generating circuit 302 generates a parity signal 107a by a combination of exclusive OR circuits, the parity signal 107a concerning an address signal 107b transmitted to the I/O bus 107. In an ordinary

execution mode, the parity signal generated by the parity generating circuit 302 is directly sent out to the I/O bus 107.

5 The fault generator 130 generates a signal defined as a fault in the I/O bus 107 by inverting the parity signal generated by the parity generating circuit 302. The generation of the fault signal is controlled by a fault generation register 303. In an ordinary operation mode, the register 303 is set to "0". When
10 the register 303 is set to "1", the fault generator 130 sends out a signal for making a fault to the I/O bus 107 by inverting the signal generated by the parity generating circuit 302.

15 The register 303 is configured so that it can be accessed by an input-output instruction from the CPU 121 of the manager 120. The management program 211 can force the OS 201 of the computer 100 to stop by setting the register 303 to "1" and executing an operation of making access to the I/O bus 107.

20 The fault generator 130 sets a fault generation state register 304 to "1" at a point of time when a fault signal is sent out as the parity signal 107a. Further, the fault generator 130 resets the register 303 to "0" so that the fault injection into the
25 I/O bus 107 does not occur continuously.

Although this embodiment has shown the case where a fault is sent out to the I/O bus by changing the parity of the address signal to an incorrect value, the

way of generating such an incorrect bus signal is not limited to the way mentioned above.

The I/O bus controller 104 will be described. Fig. 4 is a view showing a part of the configuration of the I/O bus controller 104 in this embodiment.

The I/O bus controller 104 performs data sending-out to the I/O bus 107 and data taking-in from the I/O bus 107. When data is taken-in, the parity signal 107a concerning the address signal 107b is referred to in order to check whether data on the I/O bus 107 are incorrect. A parity calculation circuit 401 in the I/O bus controller 104 calculates a parity value from the address signal 107b. This parity value is compared with that of the parity signal 107a on the I/O bus 107. When the two values are not the same, the CPU 101 is informed of a bus fault through the bus error intercept line 108.

When a signal for making a fault is issued from the fault generator 130 to the I/O bus 107, the CPU 101 is informed of the bus fault because the parity value becomes incorrect.

Fig. 5 shows a configuration concerning bus fault processing on the CPU 101 side. When informed of the bus fault through the bus error signal line 108, the CPU 101 drives a bus initializing circuit 501 to initialize the bus 103. The initialization of the bus 103 means not resetting of the CPU 101 but initializing the internal state of the CPU 101 concerning the bus.

Because such bus initialization is also required of other devices connected to the bus 103, it instructs the other devices to do the bus initialization process by a bus initializing signal 103b.

5 Further, the CPU 101 causes a delay circuit 502 to delay the error intercept signal 108 so that the CPU 101 drives an interrupt control circuit 504 to internally generate a bus error interruption at a point of time when the initialization of the bus 103 is
10 completed.

General external interruption is informed to the processor by an external interrupt signal 103a. The external interruption is masked in accordance with the value of an interrupt inhibit register 503. If
15 interrupt due to bus error interception is configured to drive the interrupt control circuit 504 with bypassing mask control of the interrupt inhibit register 503, an interruption due to a bus fault can be generated even in the case where the CPU 101 is in an external interrupt-
20 disabled state.

The bus initialization of the CPU 101 will be described. Fig. 6 is a view showing an example of a configuration of the bus initializing circuit 501 of the CPU 101.

25 Circuits of the CPU 101 concerning buses are driven in synchronization with a clock signal 604.

The CPU 101 includes a circuit for controlling the bus 103. The circuit has a portion for holding a

state concerning data which passed through the bus 103 in the past. In this example, it is assumed that a register 603 constituted by a flip-flop holds the bus state. The register 603 takes in the bus state in
5 synchronization with the clock signal 604.

In an ordinary operation mode, the value of the register 603 is determined by a bus control circuit 601. A switching circuit 605 is configured so that the output value of the bus control circuit 601 reaches the
10 register 603 when the bus initializing signal 103b is inactive, that is, zero.

The switching circuit 605 is configured so that the value set in an initial state register 602 reaches the register 603 when the bus initializing
15 signal 103b is active. The value of the initial state register 602 is set in the CPU 101 in advance or set by initialization at the time of turning on the power supply to the computer 101. Hence, the CPU 101 can set the register 603 to an initial state in response to the
20 bus initializing signal 103b.

Although this embodiment has shown the case where the CPU 101 sends out the bus initializing signal 103b to the bus 103, the present invention may be applied also to the case where each of devices connected
25 to the bus 103 detects the bus error intercept signal 108 so that initialization is performed by the device.

According to the aforementioned hardware configuration, in this embodiment, the manager 120

connected to the I/O bus 107 of the computer 100 sends out a signal, which is defined as a fault in the I/O bus 107, to the I/O bus 107 at an optional point of time independent of the state of execution of the computer

5 100. It makes it possible to initialize the internal state which is held in each device connected to the bus 103 and which concerns the bus 103 and generate a bus error interrupt in the CPU 101.

Software processing in this embodiment will be described below. Fig. 7 is a flow chart showing processing performed by a bus error interrupt handler 204 in the OS 201 executed in the computer 100.

When the CPU 101 catches a bus error interruption, the CPU 101 passes the control to the

15 interrupt handler 204 which starts from step 701. Such a bus error interruption may be generated intentionally by the manager 120 or not intentionally. The interrupt handler 204, first, acquires the value of the fault generation state register 304 in the manager 120 (step

20 701). The register 304 is configured so that the CPU 101 can make access to the register 304 via the I/O bus 107.

Then, the obtained value of the register 304 is checked (step 702). When the value of the register

25 304 is zero, that is, when the manager 120 does not send out the bus fault, an ordinary bus error process (step 705) is executed. For example, the bus error process includes displaying fault information on a console 106,

dumping the main memory 102 onto an external storage device 105, restarting the computer 100, and so on.

When the register 304 is "1", that is, when the bus error is due to a fault injected by the manager
5 120 into the I/O bus 107, the fault state generating register is reset (step 703) and the fault information is displayed on the console 106 (step 704). The reference numeral 720 designates an example of console screen display.

10 Processing performed by the management program 211 in the manager 120 will be described. Fig. 8 is a flow chart showing an example of processing performed by the management program 211.

First, in step 801, a judgement is made as to
15 whether there is any shutdown request to the computer 100 or not. The shutdown request is sent from the remote computer 151 or 170 to the modem 127 or network adapter 128 via a communication line or it is generated by pushing-down of an emergency stop button 129, or the
20 like.

When there is no stop request, operating states of the computer 100 are collected and stored in management data 210 (step 802). A judgment is made from the acquired data 210 as to whether the computer 100
25 operates normally or not (step 803). When the computer 100 operates normally, the operating states are transmitted to the remote computer (step 804). When the computer 100 is halting, the management program executes

step 807 in which fault information is acquired and transmitted to the remote computer.

When there is any shutdown request, step 805 is executed. On this occasion, the fault generation register 303 is set to "1" and an instruction to make access to the I/O bus 107 is executed (step 806). Hence, a bus error interruption is generated in the CPU 101 so that control goes to the bus error interrupt handler 204.

Then, the management program executes step 807 in which fault information is transmitted to the remote computer.

According to the aforementioned hardware configuration and software procedure, the execution of the OS 201 in the computer 100 is forced shutdown by the manager 120 connected to the I/O bus 107. Hence, it is possible to execute the bus error interrupt handler 204 which is fault processing of the OS.

In this embodiment, the fault generator 130 in the manager 120 sends out a fault causing signal to the I/O bus 107 at an optional point of time regardless of the state of execution of the computer 100 to thereby achieve the forced shutdown of the OS 201 executed in the computer 100. In this embodiment, the computer 100 and the manager 120 are connected to each other through the I/O bus 107 alone. The limitation upon the computer 100 allowed to be connected to the manager 120 is relaxed compared with the background-art method in which

a computer and a manager were connected to each other through a private signal line.

Moreover, the background-art manager made it difficult to analyze a cause of a fault because the restart of the computer was executed by resetting the CPU when the execution of the OS was halted due to the fault. On the contrary, in this embodiment, the I/O bus controller 104 informs the CPU 101 of bus error, so that the CPU 101 generates an interruption in response to the bus error and executes the interrupt handler 204. A fault process such as storing the contents of the main memory 102 in the external storage device 105, analyzing the cause of a fault, removing the cause of a fault, and so on, and a process for stopping the OS 201 can be executed by extension of the interrupt handler 204. Hence, it becomes easy to analyze and recover the fault after that.

Moreover, the CPU 101 generates an interruption after the CPU 101 and each of the devices connected to the bus 103 initialize their internal states concerning the I/O bus 103. Hence, the possibility that the interrupt handler 204 is executable becomes high.

Although this embodiment has shown the case where the contents of the main memory 102 are stored in the external storage device 105 by the bus error interrupt handler 204, the present invention may be applied also to the case where all or a part of the contents of the main memory 102 and fault analysis

information given by the interrupt handler 204 are stored in the main memory 122 of the manager 120.

Although this embodiment has shown the case where the manager 120 sends out the fault signal to the I/O bus 107, the present invention may be applied also to the case where the fault signal generator 130 is incorporated in a device such as a network adapter or a modem so that a fault signal is sent out to the I/O bus 107 when a specific packet or data is received.

10 (2) Second Embodiment

A second embodiment of the present invention will be described below.

In the first embodiment, it is necessary that the manager 102 connected to the I/O bus 107 sends out a signal recognized as a fault to the I/O bus 107. Hence, the manager 120 must acquire the right to make access to the I/O bus 107. That is, the manager 120 must get the right to use the bus on the basis of arbitration of the bus 107.

In some case, however, the manager 120 cannot acquire the right to use the I/O bus 107. When the CPU 101 is to execute a certain continuous non-slit process for a device connected to the I/O bus 107, the CPU 101 gets the right to use the bus as the right to exclusively use the I/O bus 107. This is called "locking the bus". If the device cannot give an answer at this time by reason of breakdown of the target device, or the like, the right to use the bus 107 is not

freed.

In such a case, in the first embodiment, the fault processing by the OS 201 in the computer 100 cannot be started by the manager 120 because the fault
 5 signal cannot be injected into the I/O bus 107.

In the second embodiment of the present invention, means and procedure for transmitting the fault signal after unlocking the bus will be described. In this embodiment, it is configured so that the manager
 10 120 can check the lock state of the I/O bus 107. Moreover, the manager 120 sends out arbitrary data against an I/O bus request which is not terminated with the bus locked. As a result, the manager 120 deceives the request source as if the requested operation was
 15 terminated, and makes the request source unlock the bus.

A flow of data on the I/O bus will be described. Fig. 9 is a timing chart showing a flow of data on the I/O bus 107 in this embodiment.

Fig. 9 shows states of bus signals in the case
 20 where data transfer is made actually after arbitration of the right to make access to the I/O bus 107 is completed. A device to make access to the I/O bus 107 acquires the right to make access to the bus and then outputs an address signal 107b for designating the
 25 device as a target of access.

When this access is to be executed exclusively, an I/O bus lock signal 107c is made active simultaneously. Any other devices connected to the I/O

bus 107 are configured so that they cannot issue the next request to the I/O bus 107 while the bus lock signal 107c is active. The request source device keeps the bus lock signal 107c active until it finishes the
5 operation.

The device designated by the address signal 107b makes a response signal 107d active when the operation is completed. If there is any data on this occasion, the device outputs the data to a data signal
10 line 107e.

The request source device detects that the response signal 107d becomes active. Hence, the request source device takes in the data from the data signal line 107e and makes the bus lock signal 107c inactive.

15 Fig. 10 is a view showing the configuration of the controller 120 in the second embodiment. Description will be made on the assumption that the CPU 101 issues a non-split continuous I/O request to a device 1020 but the device 1020 cannot give an answer.

20 When the CPU 101 issues a non-split I/O request, the I/O bus controller 104 makes the bus lock signal 107c of the I/O bus 107 active.

The controller 120 has a bus lock state register 1006 provided for holding the bus lock signal
25 107c at each point of time. The bus lock state register 1006 is configured so as to be read by the CPU 201 on the manager 120. Hence, the management program 211 can find the value of the register.

The manager 120 is configured in a normal operation mode so that the manager 120 outputs the response signal 107d only when the controller 120 is designated by the address signal 107b on the I/O bus 107. In addition, the manager 120 has means for sending out the response signal 107d to the I/O bus 107 at any time on the basis of an instruction from the management program 211.

The response signal 107d is controlled by a proxy response control register 1001. When the proxy response control register 1001 is "0", a response signal 1003 output from a device control circuit 1002 is output as the response signal 107d on the I/O bus.

The I/O bus data signal 107e is also controlled by the proxy response control register 1001. The switching circuit 1005 outputs the output value of the device control circuit 1002 or the output value of a proxy response value register 1004 to the data signal 107e in accordance with the value of the register 1001.

That is, when the proxy response control register 1001 is set to "1", the response signal 107d becomes active and the value stored in the proxy response value register 1004 is sent out to the bus data signal 107e.

Processing in the control program 211 in this embodiment will be described below. Fig. 11 is a flow chart showing a process of the control program 211 for forcing the OS 201 to stop.

First, the control program 211 makes a judgment by referring to the bus lock state register 1006 as to whether the I/O bus 107 is locked or not (step 1101). When the I/O bus 107 is not locked, the control program goes to step 1103 in which the fault generation register 303 is set to "1" and a fault signal is injected into the I/O bus 107 by the same procedure as in the first embodiment.

When the I/O bus 107 is locked, the control program goes to step 1102. In the step 1102, the proxy response control register is set to "1". Hence, the management program 211 tries to unlock the I/O bus 107 and then the control program goes back to the step 1101 so that it checks the bus lock state again. If the bus is unlocked, the control program goes to step 1103 in which a fault signal is injected.

According to the aforementioned means and procedure, the manager 120 can inject the fault signal into the I/O bus 107 even in the case where the I/O bus 107 is locked by another device. Hence, the fault range allowing to forcibly stop the OS 201 from the manager 120 connected to the computer 100 by the I/O bus 107 alone is enlarged.

(3) Third Embodiment

A third embodiment of the present invention will be described below. In the second embodiment, the unlocking of the I/O bus 107 and the controlling of the fault injection into the I/O bus 107 are executed

individually. In this embodiment, means for gathering these into one circuit in the controller 120 will be described.

Fig. 12 is a view showing the configuration of a fault generator 1201 in this embodiment. The fault generator 1201 includes a fault generating circuit 1202, and a bus unlocking circuit 1203. The fault generating circuit 1202 has the same configuration as that of the fault generator 130 in the first embodiment shown in Fig. 3. Also the bus unlocking circuit 1203 has the same configuration as that in the second embodiment shown in Fig. 10.

The fault generator 1201 fetches the bus lock signal 107c on the I/O bus 107 in synchronization with a clock 604 and stores the bus lock signal 107c in a bus lock state register 1204.

The fault generator 1201 carries out controlling the injection of the fault signal on the basis of a fault generation register 1205. When the fault generation register 1205 is "0", the fault generating circuit 1202 and the bus unlocking circuit 1203 are inoperative. When the execution of the OS 201 is to be stopped, the control program 211 sets the fault generation register 1205 to "1".

If the bus lock signal 107c is inactive when the fault generation register 1205 is set to "1", the fault generating circuit 1203 operates. The circuit 1203 sends out a fault causing signal to the I/O bus

107.

If the bus lock signal 107c is active when the register 1205 is set to "1", a bus unlocking circuit 1204 operates. The circuit 1204 sends out the bus response signal 107d and the bus data signal 107e to the I/O bus 107 to try to unlock the bus.

When the bus is unlocked, that is, when the bus lock signal 107c becomes inactive, the fault generating circuit 1203 operates to send out the fault signal to the I/O bus 107.

According to this embodiment, the execution of the computer 100 can be stopped relatively securely compared with the case where software monitors the lock signal and injects the fault signal as in the second embodiment. Moreover, the software control portion in the second embodiment can be omitted.

In the second and third embodiments, the manager 120 unlocked the bus by sending out a pseudo-response signal to the I/O bus 107. In some I/O bus 107, a response destination must be designated for response in accordance with the configuration of the I/O bus 107. In this case, the manager 120 may preferably record identifiers on the bus of devices sending out bus transactions requiring the locked bus.

(4) Fourth Embodiment

A fourth embodiment of the present invention will be described below. Although the aforementioned embodiments have been described upon a method for

stopping the execution of the computer 100 by connection via the I/O bus 107 alone, the manager 120 may be provided with a background-art private signal line additionally. When, for example, the execution of the

5 computer 100 is to be stopped, the means according to the present invention first tries to stop the OS 201. If the OS 201 cannot be stopped by the means according to the present invention, the computer 100 is reset by the background-art means. The configuration of the

10 computer 100 and the manager 120 to achieve this purpose will be described.

Fig. 13 is a view showing the configuration of the computer 100 and the manager 120 in the fourth embodiment. The computer 100 has a reset circuit 1302

15 for resetting the CPU 101. The reset circuit 1302 is connected to the manager 120 by a reset control line 1303. When the reset control line 1303 becomes active, the reset circuit 1302 operates to reset the CPU 101. As a result, the computer is reset as a whole.

20 The manager 120 has a reset control register 1301. The reset control register 1301 is configured so as to be set from the CPU 121. It is configured so that the reset control line becomes active when the reset control register 1301 is set to "1".

25 A flow of the process of the management program 211 for stopping the computer 100 will be described below. Fig. 14 shows a flow chart thereof. First, the fault generator 130 is driven to send out the

fault signal to the I/O bus 107 (step 1401). After waiting for a predetermined time (step 1402), a judgment is made as to whether the fault processing is already executed by the OS 201 or not (step 1403). If the fault processing is not executed, the reset control register 1302 is set to "1" to thereby reset the computer 100 in step 1404.

(5) Fifth Embodiment

Although the aforementioned embodiments have shown the case where the remote computer or a person operating the remote computer gives an opportunity of sending out a fault to the I/O bus 107, the present invention may be applied also to the case where the manager 120 or the management program 211 determines whether fault sending-out is to be executed or not. In a fifth embodiment of the present invention, a method for executing fault sending-out by cooperation with the management program 211 and the management agent program 203 will be described. The manager 120 has an agent starting register for indicating the execution of the management agent 203. The agent starting register is configured so that both the CPU 101 of the computer 100 and the CPU 201 of the manager 120 can make access to the agent starting register (not shown).

The management agent 203 is executed at regular time intervals so that the agent starting register is set with every execution (not shown in flow chart). On the manager 120 side, a judgement is made by

referring to the agent starting register as to whether the computer 100 operates normally or not.

Fig. 15 is a flow chart showing a process of the management program 211 executed in the manager 120.

- 5 The process shown in Fig. 15 is configured so as to be executed at regular time intervals.

The management program 211 holds a variable (the number of not-start times) for recording the number of times by which the agent starting register is not set
10 when the register is checked.

The process of the management program 211 will be described. First, the agent starting register of the manager 120 is checked (step 1501). When the register is set, the register is cleared (step 1504) and the
15 number of not-start times is set to "0" (step 1505). Then, the process is finished.

When the register is not set, the number of not-start times is checked (step 1502). When the number of not-start times is a predetermined positive integer
20 X, the fault signal is sent out to the I/O bus 107 (step 1503). When the number of not-start times is not X, "1" is added to the number of not-start times (step 1506). Then, the process is finished.

In the aforementioned manner, the management
25 program 211 can send out a fault to the I/O bus 107 spontaneously by checking the state of execution of the computer 100. When the fault is sent out, a message for indicating the forced stop of the computer 100 may be

transmitted to the remote computer 151 or 170.

Although the fifth embodiment has shown the case where fault sending-out to the I/O bus 107 is executed by software, the present invention may be applied also to the case where a watchdog timer is provided in the manager 120 so that the fault generator 130 is driven when the watchdog timer has been not reset for a predetermined time.

In this case, the management agent 203 is executed at regular time intervals so that it resets the watchdog timer every execution. There is no special process required on the management program 211 side.

Further, the management program 120 may check the state of execution of the OS 201 by referring to the contents of the main memory 102 in the computer 100 so that it sends out the fault signal to the I/O bus 107 correspondingly.

INDUSTRIAL APPLICABILITY

As described above, a method and system for processing a fault in a computer according to the present invention is adapted for construction of a computer system in which a manager sends out a fault generating signal to a computer to be managed via an I/O bus whereas the computer to be managed initializes the bus and generates an interruption in response to the reception of the signal.

CLAIMS

1. A fault processing method in a computer system having a computer and a manager connected to each other through an I/O bus, comprising transmitting an I/O bus signal from said manager to an I/O bus manager in said computer at a predetermined point of time to inform said I/O bus manager of occurrence of an I/O bus fault to thereby make said I/O bus manager initialize said I/O bus, and then informing a CPU in said computer of said I/O bus fault as an interruption to be processed by an OS operated by said CPU.
2. A fault processing method in a computer system according to Claim 1, wherein said predetermined point of time is a point of time when a fault occurs in said computer.
3. A fault processing method in a computer system according to Claim 1, wherein said predetermined point of time is a point of time when an incorrect data is transmitted from said computer to said manager.
4. A fault processing method in a computer system according to Claim 1, wherein said OS carries out fault processing in response to said interruption.
5. A fault processing method in a computer system according to Claim 1, wherein said predetermined point of time is a point of time when said computer does not update contents of a predetermined storage device within a predetermined time.
6. A computer system comprising a computer, a

manager, and an I/O bus for connecting said computer and said manager to each other, wherein: said manager transmits an I/O bus signal to an I/O bus manager in said computer at a predetermined point of time to inform said I/O bus manager of occurrence of an I/O bus fault; and said I/O bus manager initializes said I/O bus in response of reception of said I/O bus signal and then informs a CPU in said computer of said I/O bus fault as an interruption to be processed by an OS operated by said CPU.

7. A computer system according to Claim 6, wherein said predetermined point of time is a point of time when a fault occurs in said computer.

8. A computer system according to Claim 6, wherein said predetermined point of time is a point of time when an incorrect data is transmitted from said computer to said manager.

9. A computer system according to Claim 6, wherein said OS carries out fault processing in response to said interruption.

10. A computer system according to Claim 6, wherein said predetermined point of time is a point of time when said computer does not update contents of a predetermined storage device within a predetermined time.

ABSTRACT

A manager transmits an I/O bus signal to an I/O bus manager in a computer at a predetermined point of time to inform the I/O bus manager of occurrence of an I/O bus fault. The I/O bus manager initializes an I/O bus and then informs a CPU in the computer of the I/O bus fault as an interruption to be processed by an OS operated by the CPU, whereby the OS can acquire the fault information after the interruption even in the case where an I/O bus fault occurs.

FIG. 1

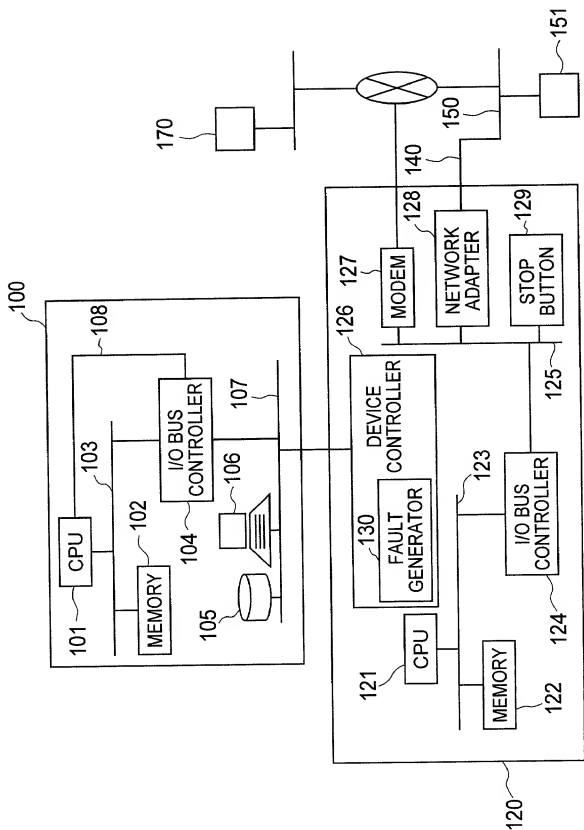


FIG. 2

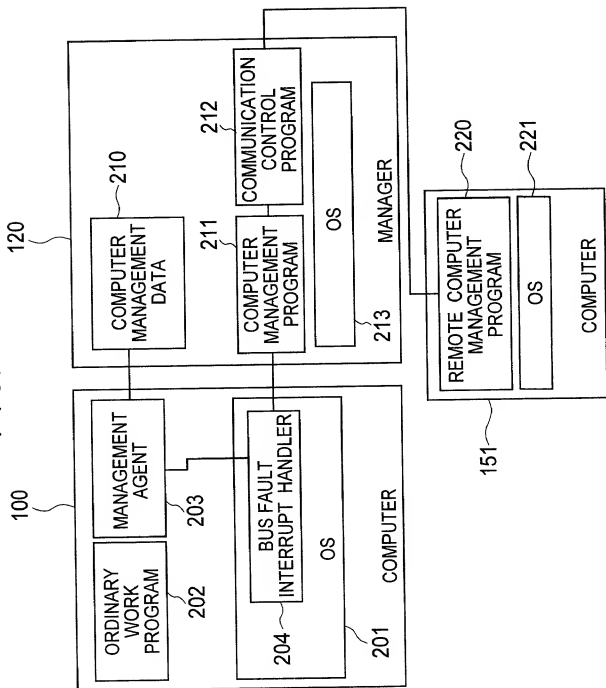


FIG. 3

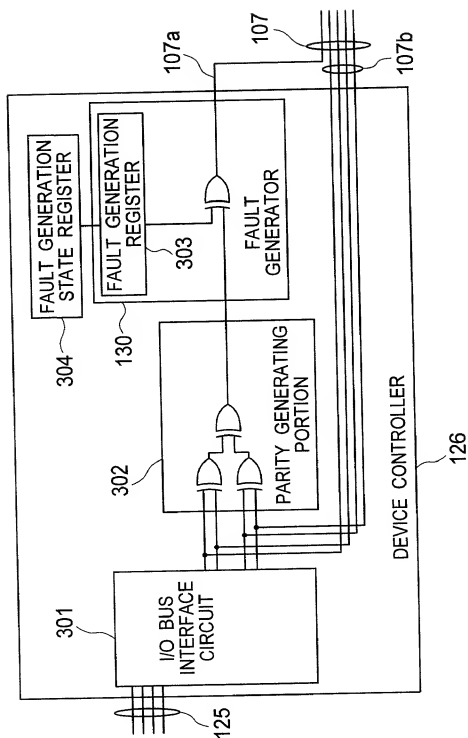


FIG. 4

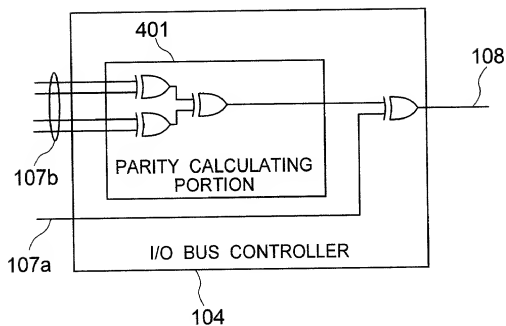


FIG. 5

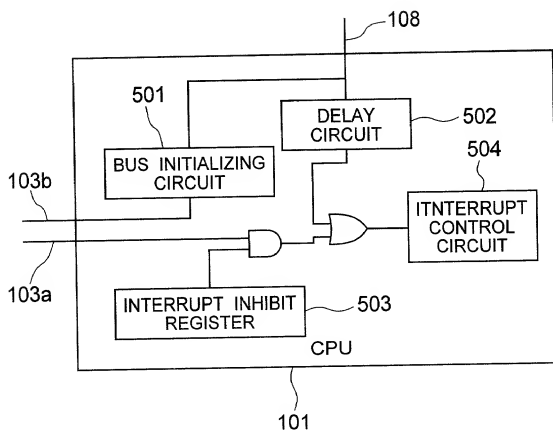


FIG. 6

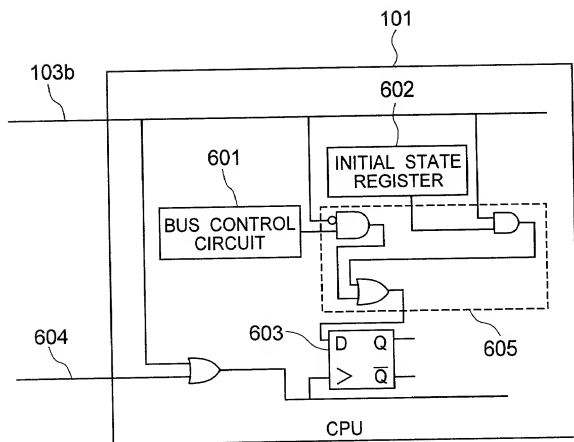


FIG. 7

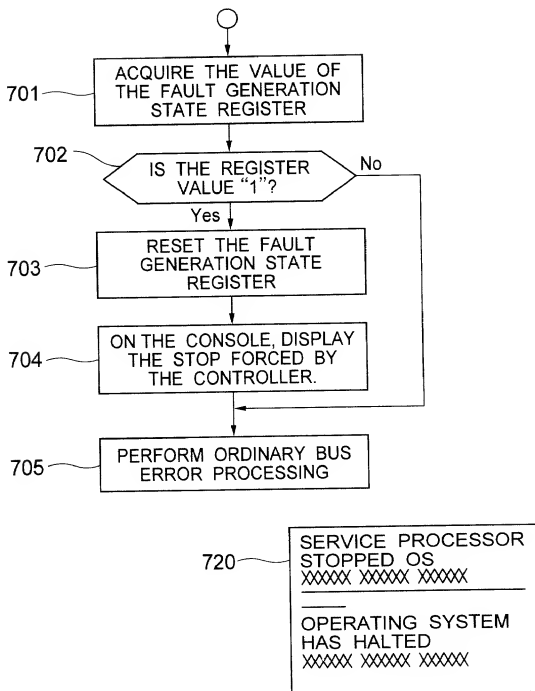


FIG. 8

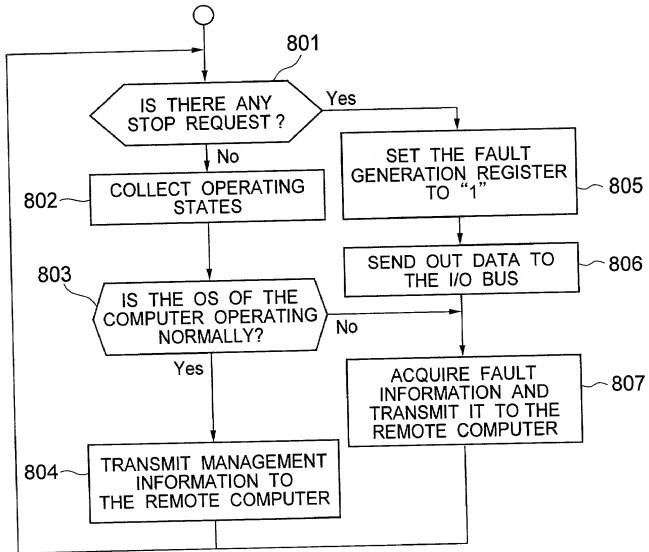


FIG. 9

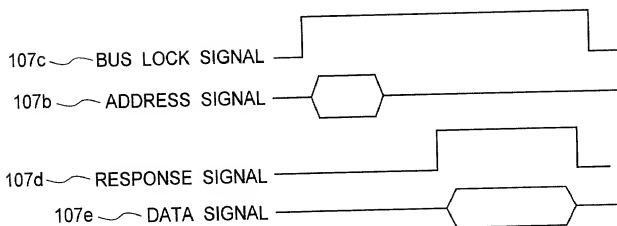


FIG. 10

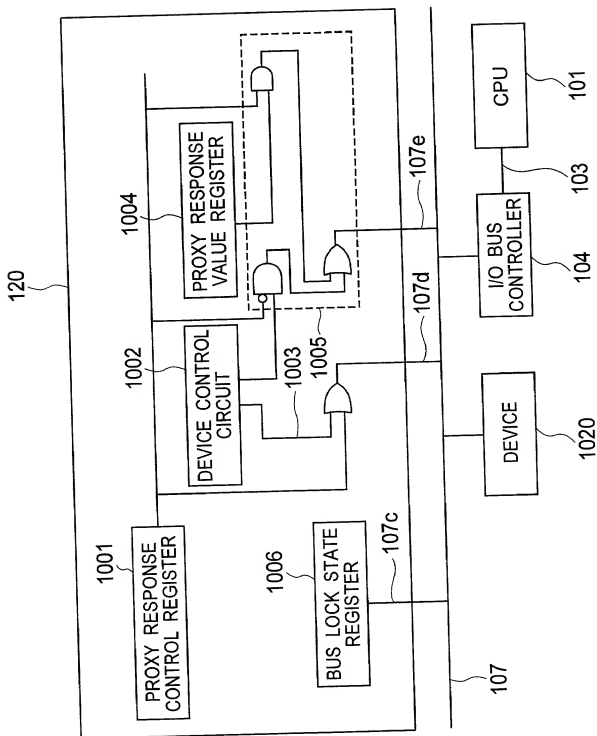


FIG. 11

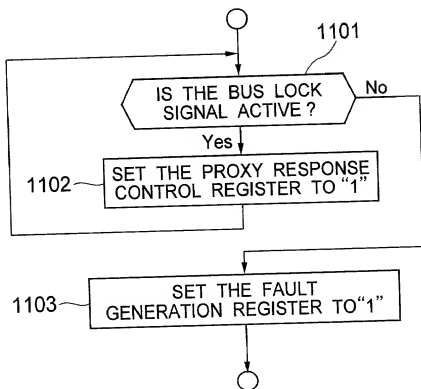


FIG. 12

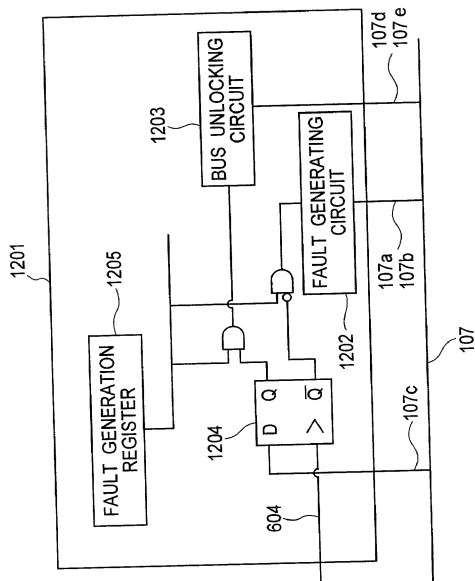
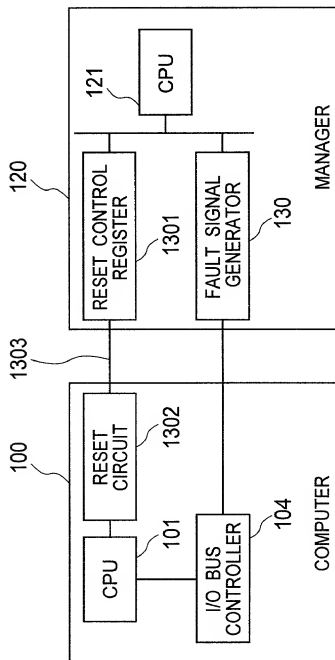


FIG. 13



14/15

FIG. 14

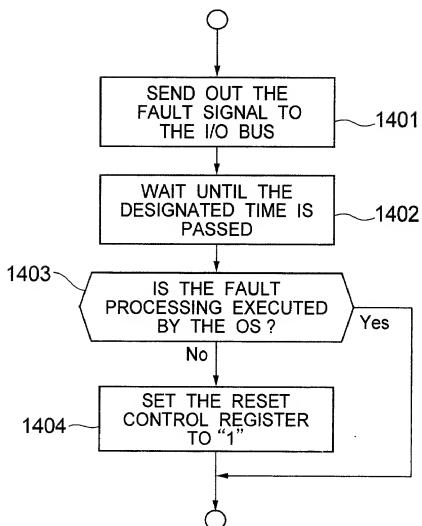
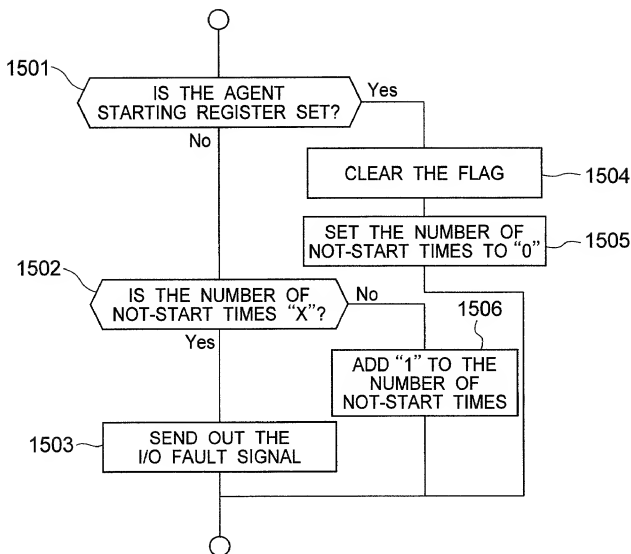


FIG. 15



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(*)

PTO/SB/105(8-96)

Approved for use through 9/30/98. CMB 0651-0032

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特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書



下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMPUTER SYSTEM AND FAULT PROCESSING

METHOD IN COMPUTER SYSTEM

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

The specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約
国際出願番号を _____ とし、
(該当する場合) _____ に訂正されました。

☒ was filed on August 16, 2000
as United States Application Number or
PCT International Application Number
09/622,372 and was amended on
(if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

E321-01

PTO/SB/105(8-95)

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Japanese Language Declaration

(日本語宣言書)

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Prior Foreign Application(s)

外国での先行出願

(Number) (番号)	(Country) (国名)

(Number) (番号)	(Country) (国名)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

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(Application No.) (出願番号)	(Filing Date) (出願日)

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PCT/JP99/00836	February 24, 1999
(Application No.) (出願番号)	(Filing Date) (出願日)

(Application No.) (出願番号)	(Filing Date) (出願日)

(Application No.) (出願番号)	(Filing Date) (出願日)

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I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application.

Pending
(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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(*)

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Japanese Language Declaration

(日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

Reg.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

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(第二以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for second and subsequent joint inventors.)

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第二共同発明者の署名	日付	Second inventor's signature <i>Toshiaki Arai</i>	Date 12/14/2000
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第三共同発明者の署名	日付	Third inventor's signature <i>Hiroshi Furukawa</i>	Date 12/15/2000
住所		Residence Kawasaki, Japan	<i>SPx</i>
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第四共同発明者の署名	日付	Fourth inventor's signature <i>Kazumi Ikeda</i>	Date 1/3/2001
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第五共同発明者		Full name of fifth joint inventor, if any	
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私書箱		Post Office Address	

(第六以降の共同発明者についても同様に記載し、署名をすること)
(Supply similar information and signature for sixth and subsequent joint inventors.)

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第六共同発明者		Full name of sixth joint inventor, if any	
第六共同発明者の署名	日付	Sixth inventor's signature	Date
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国籍		Citizenship	
私書箱		Post Office Address	
第七共同発明者		Full name of seventh joint inventor, if any	
第七共同発明者の署名	日付	Seventh inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第八共同発明者		Full name of eighth joint inventor, if any	
第八共同発明者の署名	日付	Eighth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第九共同発明者		Full name of ninth joint inventor, if any	
第九共同発明者の署名	日付	Ninth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	

(第十以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for tenth and subsequent joint inventors.)